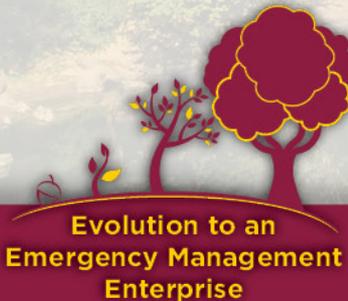


Corrective Action Plans

David Freshwater

DOE Office of Emergency Operations

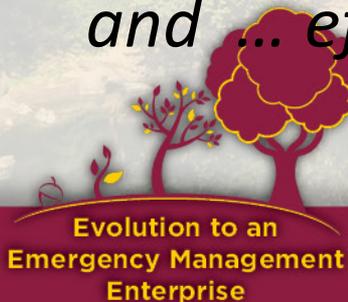
July 8, 2015



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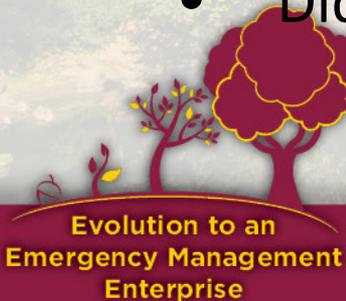
DNFSB 2014-1

- Identified a root cause of
“[W]eaknesses in DOE verification and validation of readiness of its sites with defense nuclear facilities.”
- *DOE’s Implementation Plan*
“DOE acknowledges a lack of consistent oversight and ... effectiveness of analyzing issues”



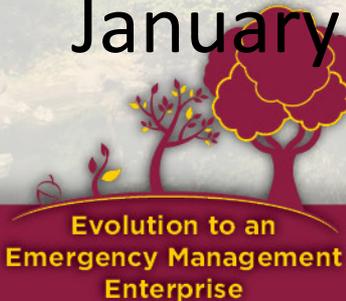
When We Do Find the Problem

- Are we actually correcting the problem
 - Chasing Paper
 - Part is timing
 - The next event is upon us
 - Part is not following through
 - Was the Corrective Action *actually* put in place?
 - Did the Corrective Action solve the problem?



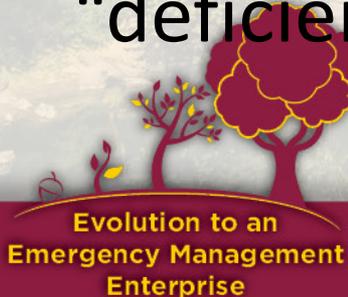
New Problem?

- DOE-IG-0657, *The Department's Continuity Planning and Emergency Preparedness*, dated August 11, 2004.
- DOE IG-0845, *Improvements Needed in the Department's Emergency Preparedness and Continuity of Operations Planning*, dated January 3, 2011.



IP Strategy

- “Legislate” against basic organizational behavior
 - Can the same “person” ensure that the work “they” performed was accomplished?
- PSO or EA validate the Corrective Action is in place and corrected the problem for “deficiencies” and “recurring findings”



Another Working Group

- Establish procedures for tracking and ensuring closure of corrective actions
 - Incorporate Departmental best practices
 - Dovetails with the reporting process
 - Report status of deficiencies until corrective actions are closed and verified and validated
- Report on the revised procedures to the S-2 by December 1, 2015

Future Actions

- Program Office and Field Element Oversight officials test the revised protocols during the rest of FY2016
 - Another pilot
- What we do after the pilot is not specified in the IP
 - Implied – report on the pilot
 - Implied – incorporate lessons from the pilot into requirements space



Questions

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301-903-3988



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